

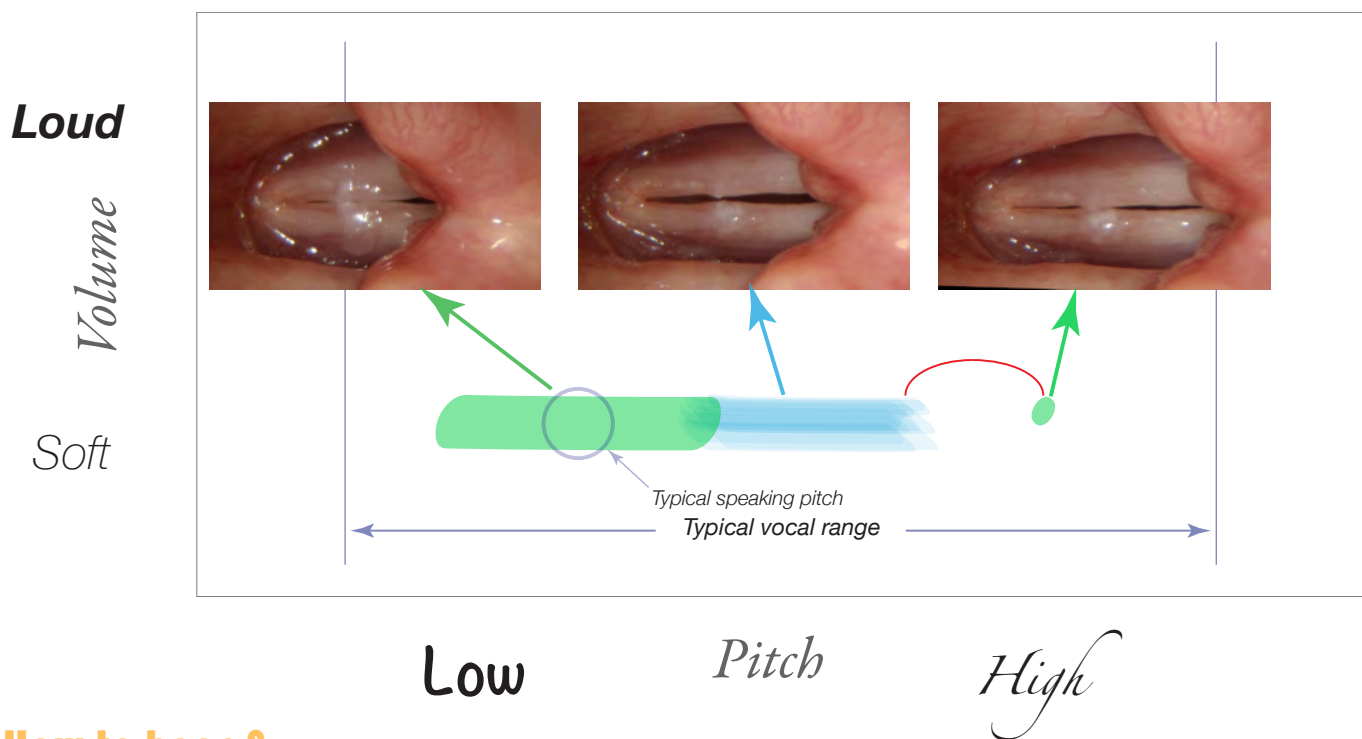
# LARYNGOLOGY

## Secrets of listening

listen carefully  
look closely

James P. Thomas, M.D.  
[voicedoctor.net/VCP](http://voicedoctor.net/VCP)

### Laryngeal Acoustic Testing - Vocal capabilities



How to hear &  
visualize the voice

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## WHY SHOULD THE EXAMINER LISTEN TO THE VOICE?

SEEING SOUND. I am a visual person. I went into a surgical speciality for my talent to see something and remove it, yet, my ear isn't well trained. I can't carry a tune, but I specialize in laryngology and my patient is hoarse, a complaint about sound. Sound is created by the movement of air and I can't see air, how do I see sound?

THE LARYNX LOOKS NORMAL. Patients seem more accurate about their perception that something is not clear in their voice than physicians are at recognizing what is impaired. While the task of identifying the impairment is more difficult than just hearing it, diagnosticians all too often hide (perhaps unconsciously) their knowledge deficit. A "normal" appearing larynx ends up being "treated" by prescribing a pill or speech therapy rather than admitting the need for a more directed examination.

PATTERNS. Training your ear a little bit, we can begin to recognize regular and irregular air flow. Listening to a range of pitch and volume patterns of hoarseness appear. We can convert these findings into a graphic profile, a vocal signature. Seeing a vocal signature improves understanding of vibration disorders and laryngeal compensation, leading an ear-trained diagnostician to an accurate perception of the vocal cord vibratory impairment before looking with an endoscope and stroboscope.

Ultimately, this vocal signature identifying hoarseness translates visually into pathology using endoscopy and stroboscopy. Gradually it becomes possible, even easy, to identify voice disorders by ear alone.

## 6 SECRETS OF LISTENING

*Secret #1* The only **SOUND** you need is the vowel /i/.

*Secret #2* Alter **PITCH** and **VOLUME**. Test high and low pitch versus high and low volume. This two by two grid will reveal pathology.

*Secret #3* Identify **ROUGHNESS** and **BREATHINESS**. Hirano<sup>1</sup> was nearly correct when he postulated that roughness and breathiness can be utilized to identify the cause of a voice disorder - origin of GRBAS rating. However, graphing roughness and breathiness **VERSUS** pitch and volume will reveal the underlying voice disorder (graph on cover).

*Secret #4* **ONSET DELAYS** and **PITCH BREAKS**. These identify where on the vocal margin vibration is impaired.

*Secret #5* Only the **VOCAL CORD MARGINS** matter. Match what you hear with what you see.

*Secret #6* Record - so you can play it over and over. **AUDIO RECORDING** of vocal capabilities is essential documentation of vocal impairment and should be part of the minimum examination performed for the diagnosis of hoarseness.

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## INTRODUCTION

# The problem: inadequate or no voice assessment

**T**he most common current puzzle solving algorithm for hoarseness is a two-part method. A medical history is taken, followed by visual examination of the throat, quite often with endoscopy<sup>3</sup> or for some examiners a mirror, looking for a lesion on the larynx.

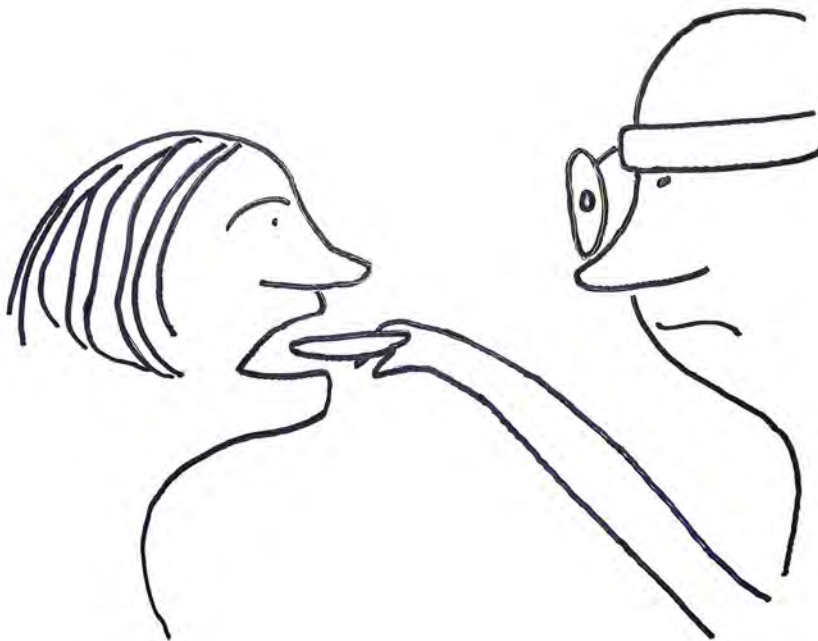
Although this technique will tend to identify gross problems, it exposes the examiner to uncertainty, specifically, is a visual finding on the larynx actually related to the patient's hoarse voice? If one doesn't see anything, is nothing truly there? An examiner may feel confident about making a correct diagnosis<sup>2</sup> and still be incorrect<sup>4</sup>. Too commonly patients hear, "I don't see anything wrong," or "It looks red."

A two-part examination misses less-than-obvious problems. Without audible correlation of vocal cord function, it may even lead the examiner down inappropriate diagnostic and treatment pathways.

## What is hoarseness?

Accurate troubleshooting of the impaired human voice requires understanding the physics of sound production. For most individuals, a non-impaired, functional voice is one that produces a clear tone and can be manipulated freely in terms of volume and pitch.

Clarity can be manipulated with the intentional introduction of air leak or irregular vibrations. When clarity is impaired unintentionally, a patient complains of hoarseness.



While "white noise" is considered a technical term and "breathiness" a non-technical term, breathiness has clearly been used in voice literature for at least 5 decades. White noise has a flat spectrum over the audible frequency range, for example the /sh/ sound. Because breathiness is such a common term in laryngology literature, I will consider white noise and breathiness as synonymous in this article.

Nearly the same can be said for roughness, a consumer-type term that has been used in laryngology literature for decades. It represents the perceived quality of two or more tones, which are not multiples of each other. When two non-harmonic tones interact, the sound waves cancel and multiply with each other in terms of volume, altering our perception of the sound. A rough voice loses clarity. We often use the term diplophonia when there are two almost distinct tones, but depending on the spectral distance from each other, it may be difficult to perceive whether there are two tones or more and we could actually be hearing a triplophonic sound, or more generally a polyphonic sound. In day-to-day musical terms though, polyphony is perceived as beautiful, as when an orchestra is in tune and more than one note harmonically blends with related notes. For this article though, the terms roughness, diplophonia and polyphonia are used as synonyms.

Two primary sound production impairments are 1) unwanted breathiness (white noise) and 2) unwanted roughness (simultaneous production of more than one pitch or polyphony). Both represent essentially non-harmonic passage of air through the sound generating system. Both terms have been described as significant components of vocal impairment throughout at least half a century<sup>1,5,6</sup>.

Unwanted breathiness is created when air passes through the vocal cord aperture during intended phonation, without entrainment. The most common source of breathiness occurs when the vocal cords fail to close between oscillations. Continuous air leak through a gap results in non-laminar flow at the edges of the vocal cords, generating white noise via turbulence; essentially the sound produced intentionally by a whisper. Stiff vocal cords require greater airflow to produce oscillations; consequently some air flowing between stiff vocal cords is converted to turbulence.

Unwanted breathiness can be thought of as the inefficient conversion of subglottic pressure to harmonic sound by a volume leak (large gap) or a pressure leak (stiffness).

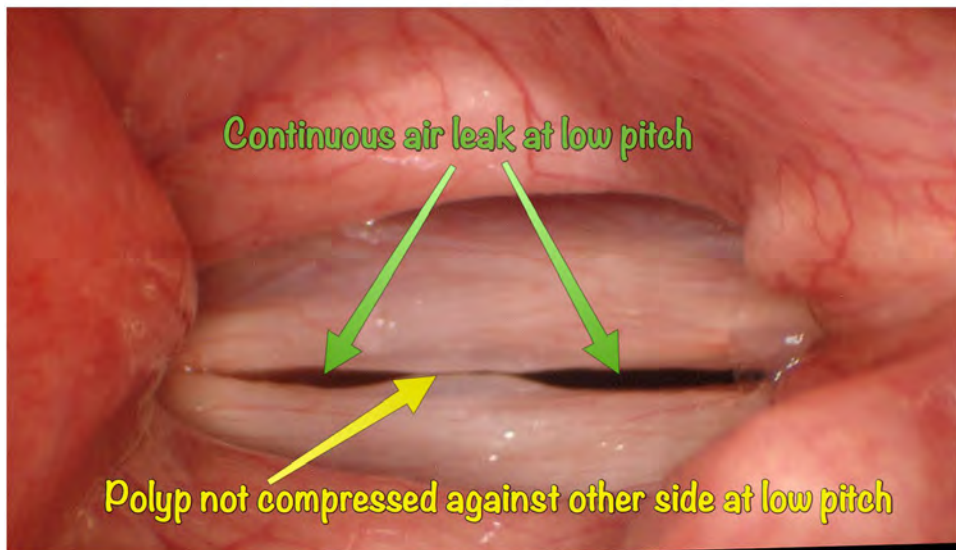
Pitch is determined by tension, mass and length of the vibrating source. Unwanted roughness may be created anytime there is more than one non-harmonic vibratory source; that is, two (or more) different pitches generated simultaneously. When two vocal cords are uneven in terms of tension, mass or length, each will tend to vibrate at a different pitch.

A single vocal cord may also oscillate with more than one vibratory segment when there is a non-linear density along the vocal cord length (e.g. nodule, polyp, scar, sulcus). Consequently, asynchronous oscillations of each vocal cord or multiple segmental oscillations of one vocal cord may generate multiple non-harmonic pitches when a difference in tension, length or mass exists.

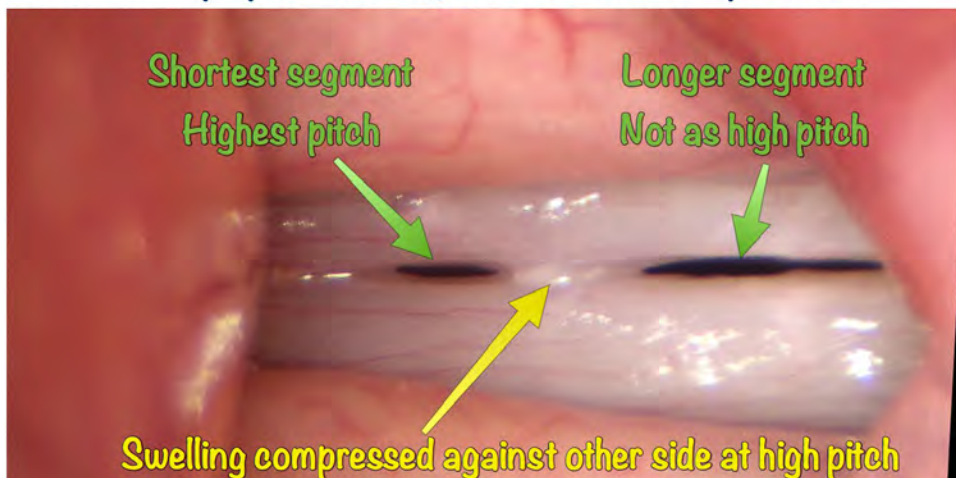
With two or more sound sources, competing sound waves that have no simple mathematical relationship cancel and augment each other, resulting in sound with irregular pitch and volume which tends to be displeasing to the ear - roughness. A single cord that is extremely lax, when driven with enough air pressure, may also oscillate in a temporal non-harmonic manner producing more than a single pitch and is often audibly perceived as flutter, a severe roughness.

These irregularities in sound production, breathiness and roughness, are generated along the vibratory margin or edge of the true vocal cords with rare exceptions. Only in the unusual case is sound produced by some other structure or portion of the larynx, such as in false cord phonation or aryepiglottic fold phonation and then the quality is substantially different, mostly lower in pitch given the larger mass of these structures, mostly monotonal given the relative inability to alter tension of these structures.

### Cause of White noise (turbulent air flow)



### Cause of diplophonia, 2 segments (2 different pitches)



## INTRODUCTION

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### Faculty...

#### JAMES P. THOMAS, MD

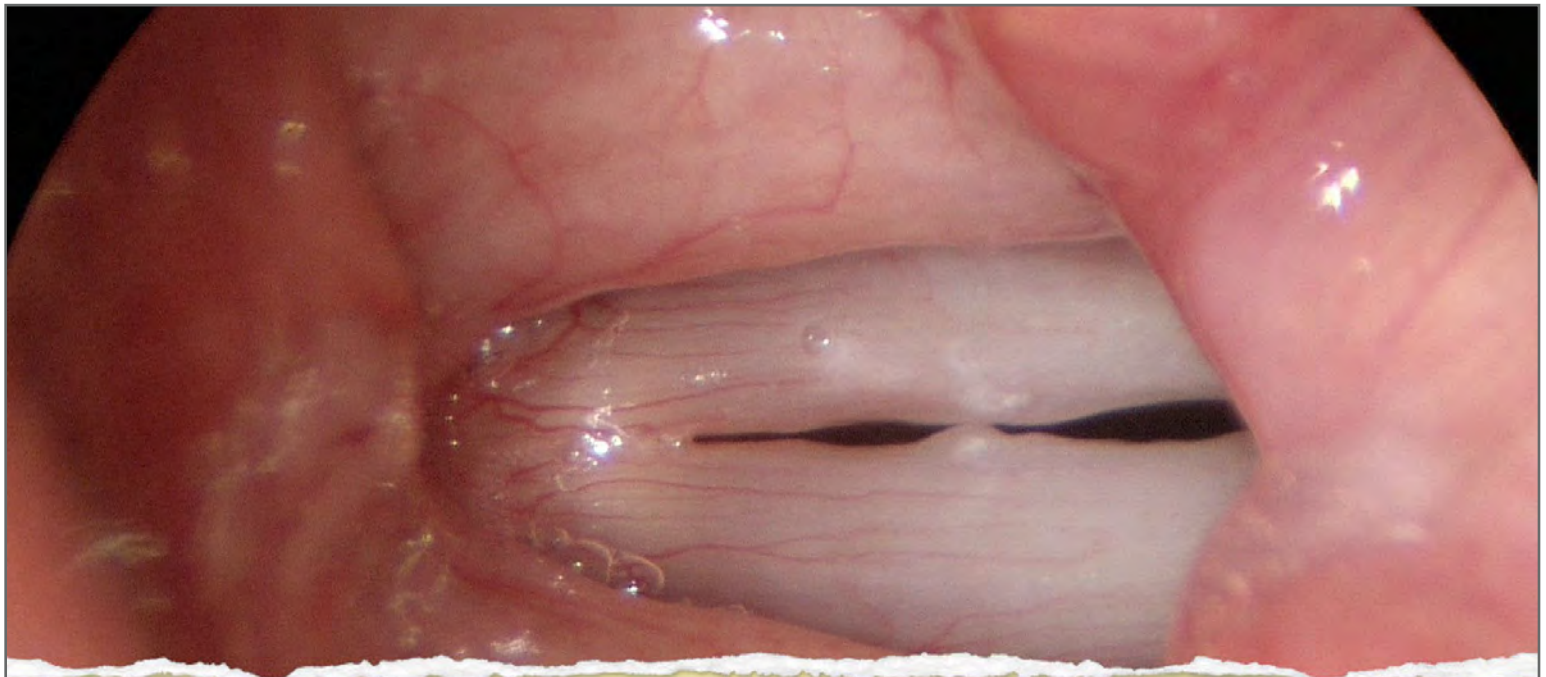


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Let's "tune your ear" with this course. Since patients are visiting you for hoarseness or some other limitation of their voice, your ability to hear a problem puts you diagnostically far ahead of someone who does not listen.

The examiner who can only look in the throat with a mirror, or even a professional who has a camera inserted into the pharynx to look at the vocal cords but doesn't listen is disadvantaged. They do not have a strategy to focus their eyes on the pathology. Their looking is essentially random and there is a lot of distraction going on in the larynx and pharynx during phonation.

Sound, specifically abnormal sound, will guide you to the pathology if you listen carefully.



Swelling touches - stops vibrations  
sudden phonatory stop

# Existing voice evaluations

A consensus review of vocal assessments used to document thyroid surgery vocal impairments<sup>7</sup> doubles as a reasonable review of current common voice evaluations and comes to the conclusion that a surgeon should document assessment of the patient’s voice before surgery, yet evidence for voice assessment providing clinical value is only a Grade C. This low level of scientific support for assessing the voice is not because it shouldn’t be assessed, but because current methods of evaluation fall short in several respects.

Various existing voice assessment protocols tend to describe the voice from a given perspective. VHI and V-RQOL describe the sense of degree of impairment of the voice from the patient’s perspective.

GRBAS and Cape-V describe the degrees of vocal impairment from the physician or therapist’s perspective, and is often called “expert perceptual rating” if performed by a team of various professionals.

Phonetograms, aerodynamic measures and other computer voice measurement protocols are seemingly objective, unbiased and precise measurements and could be termed, the computer’s perspective.

A basic audio recording, without any interpretation, is also recommended as a potential clinical practice with a perhaps neutral perspective.

Yet, do any of these assessments orient the examiner to the physical location (where), the temporal location (when), and the type of vibration impairment (what) present? These where, when and what questions should be answered by a complete voice assessment of a vocal impairment.

**The patient’s view:** Several surveys have been developed to assess the patient’s perception of the degree of vocal impairment. Voice Handicap Index<sup>8</sup> (VHI) and Voice Related Quality Of Life<sup>9</sup> (V-RQOL) surveys are frequently used tools. However, surveys do not direct the examiner toward any particular type of voice problem. They also do not direct the examiner towards any particular location on the vocal cords where pathologic vibration might be present. They do not orient the examiner to areas of the vocal range most impaired nor do they measure any characteristics about the voice. They do not record the voice for later comparison. In summary, they do not orient the examiner to the where, when and what questions of vibration impairment.

**The examiner’s view:** Various perceptual factors such as loudness, pitch, clarity, rough-

ness along with other terms have been considered for measurement<sup>10</sup>. In 1969, Isshiki<sup>4</sup> utilized roughness and breathiness as two primary descriptive audible impairments in the hoarse human voice, as he tried to correlate the ratio of these components directly with disease processes. His difficulty seemed to be the mixing of these two parameters (and perhaps more) in systems trying to infer disease directly from audible impairment by listening to the voice.

In 1981, Hirano published an overview of a consensus group’s findings from the Society of Logopedics and Phoniatrics in Japan. In Chapter 6, the now frequently used GRBAS scale<sup>1,11</sup> (Grade, Roughness, Breathiness, Asthenia, and Strain) was proposed. The GRBAS scale rates several vocal features using an ordinal 4-point rating scale. G represents severity. The R and B represent roughness and breathiness. A relates to power (willingness or strength and also to fullness of upper harmonics). S relates to hyperfunction. Another auditory perceptual scale, the Consensus Auditory-Perceptual Evaluation of Voice (CAPE-V)<sup>12</sup> utilizes visual analog scaling for rating the parameters of Overall Severity, Strain, Roughness, Breathiness, Pitch, and Loudness.

The attribution of a degree of impairment for these parameters is useful, perhaps over time, for intra-individual comparison. However, in its present form, at least three of the ratings are not utilized to direct the examiner towards pathology. The “G”, representing severity, does not direct the examiner. “A” seems to relate more to the phonatory tract, for example the pharynx which, when tuned properly, provides resonance filling in upper harmonics. “A” might also refer to breath support or pulmonary or diaphragmatic function. It is difficult to discern precisely what the authors were listening for with the “S” ratings’ “psycho-acoustic impression of a hyperfunctional state.” Hyperfunction seems to carry different meanings with different authors, ranging from an impression of non-organic psychological vocal alteration, to supraglottic compensation for impaired glottic closure.

Two of these ratings though, roughness and breathiness are necessary components for localizing laryngeal pathology, but not sufficient. The insufficiency of the GRBAS scale is how roughness and breathiness correlate with two other parameters, pitch and volume. In almost all voice disorders, roughness will change between low and high pitch and roughness will also change between low and high volume. Breathiness has a similar variability over the ranges of pitch and volume. The GRBAS scale does not take this relationship into account.

VHI

V-RQOL

**Roughness and breathiness need to be correlated with two other parameters, pitch and volume.**

GRBAS

phonetogram

Cape-V

## Do we need it?

Hoarseness is all too frequently attributed to reflux<sup>2 3 13</sup>. The terms laryngopharyngeal reflux (LPR), gastroesophageal reflux (GERD), extraesophageal reflux and silent reflux seemingly imply a causal relationship between the stomach's secretions and the symptoms of hoarseness. Many authors lump together multiple symptoms (e.g. lump in the throat, pain, hoarseness, throat clearing, dysphagia, cough etc)<sup>14 15 16</sup> and search for a potential correlation, an error-prone method. This approach has trickled down to the point where reflux laryngitis has become a default diagnosis for otolaryngologists and primary care physicians, where on a quotidian basis, hoarseness is empirically treated with anti-reflux medication<sup>17</sup> without a vocal assessment or all too frequently, without even a laryngeal examination.

With vocal capabilities pattern matching, we can search for the cause of hoarseness. Other complaints potentially coming from the throat might be related to the larynx or even the vocal cords, however, by focusing on the single complaint of hoarseness, we are much more likely to identify the cause of sound impairment. This focused approach is much stronger than a simple correlation, where correlation is often misconstrued to represent cause.

A complete voice assessment consisting of three parts; a medical history, a voice evaluation and a visual examination of the larynx, surpasses a two part exam in diagnostic accuracy. During the third, visual part of the laryngeal examination (endoscopy with stroboscopy) we know that sound is produced almost exclusively by the mucosal edges of the vocal cords. With vocal capabilities findings as a guide, visual attention during endoscopy can be directed to the edges of the vocal cords during an appropriate pitch and volume that optimally elicits inappropriate vocal cord vibration. There the visible vibratory impairment can be noted and recorded.

# Complexity, compensation & capabilities

If each muscle in the larynx can produce only a single action, why is there so much complexity? How do we take all these variables into account? First as a symmetric, midline organ there is mirrored duplication of essentially all of the components of the larynx. There is also an additional level of redundancy since more than one muscle can contribute to a single function. Simple numeric ratings of roughness and breathiness fail to orient the examiner because of these redundancies built into the larynx.

Starting with symmetry, if one lateral cricoarytenoid muscle is mildly injured, the opposite lateral cricoarytenoid muscle has some capacity to move the vocal process on the healthy side past the midline to meet the incompletely adducted vocal process, resulting in greater closure than expected, perhaps even visually complete closure. There is an injury, but there is compensation, at least for basic vocal tasks.

However, when the system is sufficiently stressed, the stronger muscle may not be able to compensate for the weaker muscle and the vocal impairment will become more audible. An individual with this injury will have a different level of audible hoarseness at the end of a long day of phonation because of fatigue and loss of compensation from the overworked healthy side.

A second example of redundancy is that pitch will increase with thyroarytenoid muscle activation by increasing intrinsic vocal cord tension. Pitch will also increase with cricothyroid muscle activation by lengthening the vocal cord. Together, in the fully functioning larynx, these two muscles offer the ability for a larger vocal range than either muscle alone. In the injured larynx, if one pitch adjusting muscle is impaired, the other will substitute to some degree. However, the overall range will

be reduced. Again, there is an injury, but there is compensation, and the compensation can be complete for comfortable, mid-range vocal tasks.

There are other types of compensation, which increase diagnostic complexity. When a vocal cord marginal swelling impairs vibration by touching and dampening the opposite vocal cord's vibration, increased airflow (volume) can maintain entrained vibration even after touching, by blowing the dampened cords apart. Essentially the diaphragm muscle compensates for the vibratory impairment.

Additionally the vocal cords can be moved further apart to avoid touching and dampening from the lesion by tensing posterior cricoarytenoid muscles which oppose glottic closure. This compensation trades increased air leak (breathiness) for reduced irregular vibration (roughness).

Examining sound produced at only a single pitch/volume combination provides an incomplete picture of roughness and breathiness because of various forms of compensation. The astute examiner though will examine the voice over a range of pitch at high volume, and over a range of pitch at low volume. This comprehensive exam exposes the function of the intrinsic laryngeal muscles by altering or removing compensation at some pitch volume combinations.

The overall pattern of impairment for roughness and breathiness yields a vocal signature for a particular voice disorder. For example, the gap of lateral cricoarytenoid paresis which becomes audible after vocal fatigue can also be heard and visualized by altering pitch and volume, because the stronger healthy side cannot compensate with equal efficacy at low and high pitch nor can it compensate with equal efficacy at low and high volumes.

Generally speaking, unwanted vocal gaps and vocal weakness impair low pitch at soft

volume more than high pitch at loud volume. Swellings and scarring tend to impair high pitch at soft volume more than low pitch at high volume. Other disorders may generate clarity in one portion of the vocal range, breathiness in another portion of the vocal range and roughness in a different portion of the vocal range. Psychogenic voice disorders fail to consistently follow a pattern.

Degrees of roughness and breathiness do not then correlate with a specific pathology. Neither does the ratio of roughness to breathiness correlate with a specific pathology. Rather roughness and breathiness match the physical manifestation of the disease and with the compensation attempted by the individual with the voice pathology.

We utilize a vocal capabilities pattern matching examination to define where, when and what types of vibration impairment are present. This orientation will focus a subsequent visual examination of the impaired vocal cords. "Where to look" is determined primarily by the laryngeal complaint. Since in this monograph, we are concerned only with laryngeal disorders of sound production (not the related laryngeal functions of swallowing and breathing), all voice disorders will be manifest on the vocal cord's membranous vibratory margin, where sound is produced. Roughness and breathiness assessment will direct the examiner temporally "when to look" for impairment, that is, during which pitch and which volume combinations to observe vocal cord vibration. "What to look" for indicates whether a gap or multiple sound sources will be identified.

Existing voice assessment protocols fail because they do not correlate presence of roughness and breathiness with pitch and volume. They also fail in orienting a subsequent visual examination to one or more aspects the "Where", "When" and "What" questions.



## LISTENING

# Vocal Capabilities Pattern Matching: ORIENTING THE VISUAL EXAM

Vocal capabilities pattern matching is the mid-portion of a three-part technique<sup>18</sup> for identifying the cause of hoarseness. Vocal capabilities testing, first described by Robert Bastian<sup>19</sup>, evolved from audibly identifying vocal swellings. A standard battery of vocal capabilities proves to be useful in all voice disorders.

Although many of the parameters in this technique could also be measured with various types of hardware and software under the heading of vocal outcome assessments, the dynamic and interactive nature of eliciting vocal capabilities leads to immediate decision-making, which allows the examiner to probe the voice and identify the etiology for the complaint of hoarseness. Rather than a goal of extremely precise measurement, vocal capabilities pattern matching is used initially for recognition of an overall pattern, which then orients the following visual examination of the larynx. Ideally in Part I of a patient interaction, the patient offers a history. In Part II vocal capabilities are assessed. In Part III of a laryngology exam, vocal cords are examined with endoscope and stroboscope, oriented by the findings from Part I & II.

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## Recording vocal capabilities

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**V**ocal capabilities pattern matching is not only a sensitive method for assessing changes in the voice; recording vocal capabilities before and after any intervention that has the potential for altering vocal cord function has significant benefits and few negatives. For example, recording vocal capabilities before surgery that could directly alter the vocal cords (microlaryngoscopy) or indirectly (surgery near the nerve supply of the larynx in the brain, skull base, neck or chest) would provide necessary and even sufficient documentation for later comparison. It might not be a preposterous idea to have such a recording even before general anesthesia, if one wished to learn the true incidence of significant vocal injury following intubation. An audio recording of vocal capabilities essentially documents the vocal functional status of the larynx, including the motor nerves, muscles and mucosal covering of the vocal cords and outlines vocal limitations.

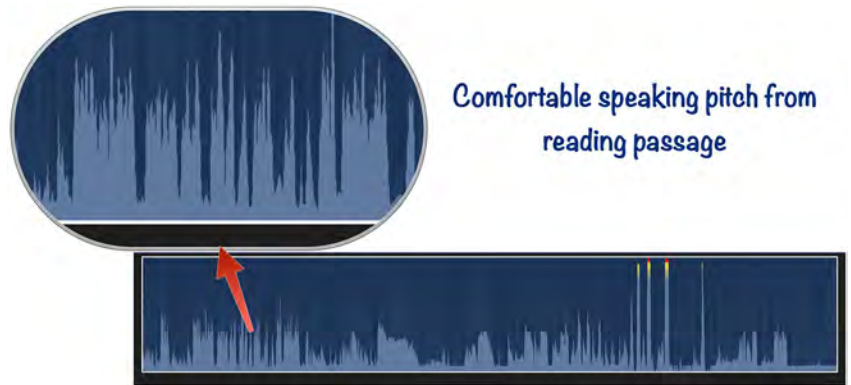
- 1 The only method to go back in time is to have already made a recording.
- 2 Optimal legal evidence that no unintentional change has occurred during an intervention or that change had occurred before the intervention is from a recording.
- 3 Physicians who operate near the recurrent and superior laryngeal nerves would have a much better sense of how often the nerves are injured both temporarily and permanently and could offer their patients reasonably accurate estimates during a pre-surgery conference as well as alter their future surgical techniques based on this feedback.
- 4 An audio recording is a far more accurate record for comparison than a physician's memory or written notes or even a phonetogram without sound.
- 5 Recording from a microphone attached to a laptop computer takes little effort, less than five minutes of time and costs are minimal.

This contrasts with the position paper on thyroid surgery by the American Academy of Otolaryngology – Head and Neck Surgery Foundation<sup>6</sup>. There is not even a strong recommendation to record the voice. The minimum recommendation is that the surgeon subjectively assesses the voice. If neither the physician nor the patient feels there is anything obviously wrong with the voice, then not even a recording is recommended. If a recording is performed, then a 3 - 5 second recording of “ah” or “ee” and a 30 second conversation and reading passage are deemed adequate. Neither of these vocal tasks documents much of the individual's vocal capabilities and certainly doesn't adequately assess the full motor capabilities of the superior and recurrent laryngeal nerves. The reported incidence of nerve injury in thyroid surgery may be low not because there are so few injuries, but rather because the capabilities of the laryngeal nerves are essentially rarely measured pre- and post-operatively.

I recommend recording an individual's vocal capabilities via a headset, holding the microphone a set distance beside but near the front of the mouth. The tasks on the following pages comprise the examination for pitch and volume variation: reading aloud, maximum phonation time, vocal range (lowest and highest pitch), maximum volume, vegetative sound, and vocal swelling tests.

## FINDING PITCH

### Comfortable Pitch Reading task



The patient reads aloud a paragraph using a comfortable voice. Using the same passage for every exam provides for easy future comparison. While reading is a mixture of voice and speech, reading aloud provides a rough measure of “comfortable speaking pitch.”

Reading often relaxes the patient and takes the focus away from the examination – many patients start out with a great deal of anxiety during an exam, anticipating foul tasting medicine placed in the nose and throat, worried how big the tube is that goes in the nose and how much it will hurt. These fears are not irrational, as previously examined patients complain of terrible tasting sprays, uncomfortable or even painful endoscopic exams and they may have gagged terribly.

Second, by listening, the approximate average speaking pitch is noted (perhaps clinically by matching the voice with a tone on a piano or using a phone app such as PitchLab Guitar tuner). It is not necessary to know the precise pitch, though there are machines and apps that can do that. An approximation is adequate; indeed we typically modulate our

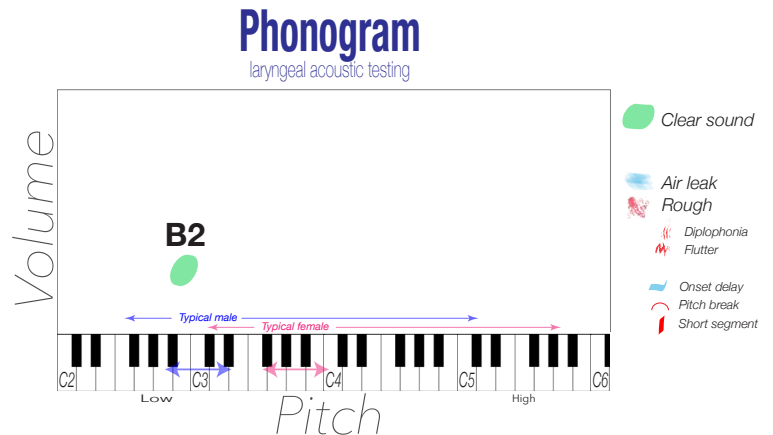
comfortable speaking pitch over several notes to convey emotion. Good storytellers modulate a great deal but there will be an approximate central pitch. We typically use only a very small portion of our vocal range in daily speech.

Third, the reading task allows time to hear any speech issues. Problems with the rate of speaking or poor enunciation become audible during this task. Involvement of muscles innervated by other branches of cranial nerve X (e.g. palate) and other cranial nerves (e.g. XII, IX, VII) involved in articulation may be audible.

Fourth, severe hoarseness apparent during this task cues the astute examiner to severe breathiness or roughness present at the comfortable speaking pitch.

Fifth, if the comfortable speaking pitch is elevated above the typical range for the patient’s gender, such as in obligate falsetto, atypical recruitment of the cricothyroid muscle may be deduced.

When the same person performing endoscopy performs these vocal elicitations, differential diagnosis formulation begins during this task and progresses during further vocal capabilities testing. The examiner begins a visual thinking process about where to look for the sound impairment.



Sample male patient - comfortable speaking pitch

## FINDING PITCH

# Comfortable Pitch

Maximum phonation time

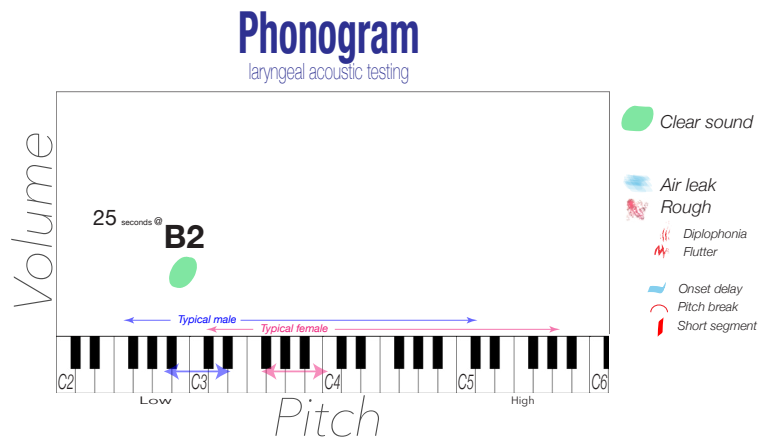


Using the /i/ sound, ask the patient to see how long they can say /i/ on one breath, at their comfortable speaking pitch and comfortable volume. MPT (maximum phonation time) is recorded as the number of seconds a single phonation is maintained at a specific pitch. MPT typically increases with higher pitch, as less air is utilized for the shorter oscillation intervals and the lower amplitude of oscillation releases less air. An increase in volume leads to a shorter MPT as more air passes between the cords. One method of standardization is to attempt recording the MPT at the same pitch and volume as the comfortable speaking pitch determined during the reading task. While not controlling pitch and volume as precisely as a researcher might with computerized testing equipment in a soundproof booth, this test, the maximum phonation time (MPT) at the comfortable speaking pitch, is a rough measure of the degree of vocal cord approximation.

The more closure, the less air is wasted and the longer sound can be maintained. As a rough guide, with an MPT of less than 10 seconds duration at the comfortable speaking

pitch, most people will complain of being out of breath with talking. Healthy young people can typically go beyond 20 to 30 seconds on MPT testing. There are many variables that affect this test, including lung capacity, as well as vocal strategies used to produce sound, but the more that the pitch and volume are kept constant; the more the test represents vocal cord approximation. This is an especially helpful measurement for one individual over time. For example, after implementation of some treatment to the voice, change in MPT after the intervention is often secondary to the intervention.

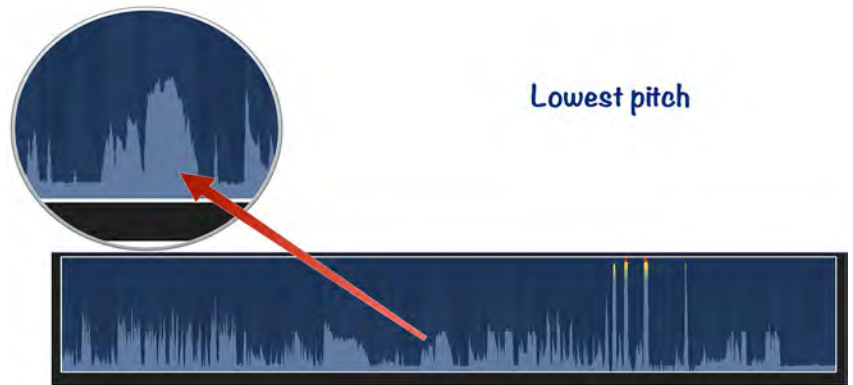
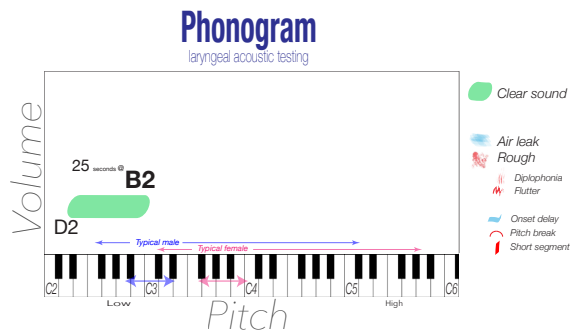
Additional qualitative findings are typically more audible near the end of the maximum phonation time, when there is reduced breath support. Vocal impairments become more noticeable. Essentially, the compensation provided by high subglottic pressure diminishes and impairments such as stiffness and glottic gaps become more audible.



Maximum phonation time  
measured at comfortable speaking pitch

## FINDING PITCH

### Pitch Range Low Pitch



Next, the patient attempts to produce sound at their lowest pitch, at any volume, low or high. This defines the vocal floor of their voice. Sometimes the person has excellent vocal rapport, capable of matching their voice to notes played on a piano. Some people are not so talented and the examiner

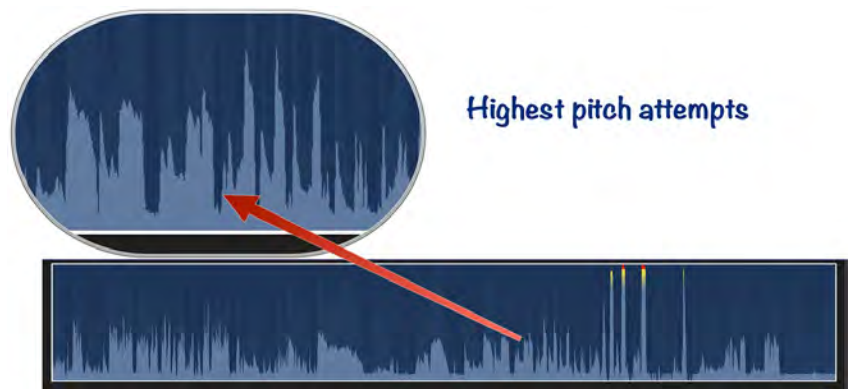
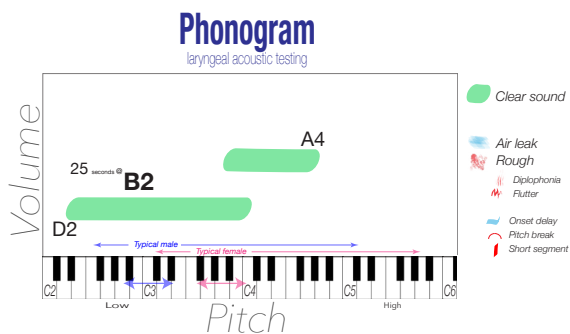
may ask them to slide down in pitch and then by ear try to determine the lowest note produced.

Moving lower in pitch removes any compensation from the cricothyroid muscle. For example, increased air leak at lower pitches represents a gap, which could be from bowing or paresis which is often masked by cricothyroid tension at higher pitches.

Sometimes, while recording the stroboscopy, the patient is no longer focused on their voice and produces a lower note than I heard during vocal capabilities testing.

## FINDING PITCH

### Pitch Range High Pitch



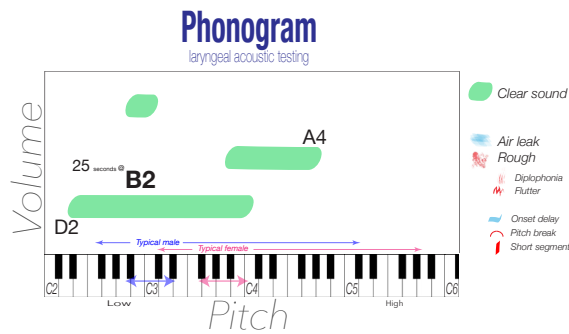
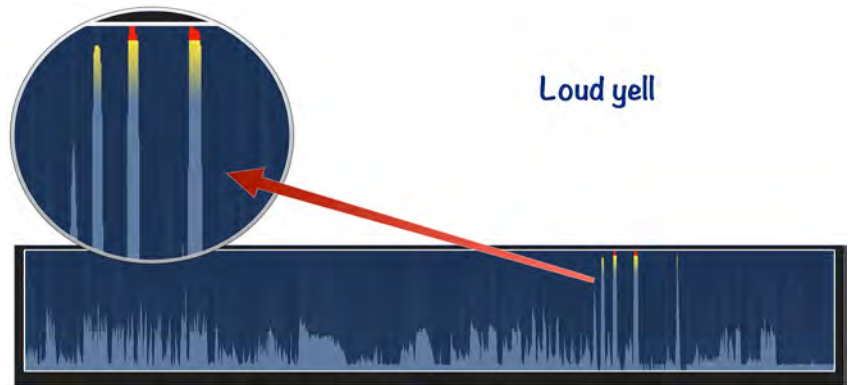
A similar investigation is performed moving up in pitch until the patient produces the highest note they are capable of, regardless of volume. Typically this vocal ceiling is reached where the vocal cords, placed on a stretch, reach the limit of their ability to vibrate given their mass and stiffness, as

well as the limit of energy that subglottic air-flow can impart.

When the uppermost notes have a tight quality, we could term this a muscle-quality vocal ceiling. There are other qualities possible for a vocal ceiling. The individual may reach a note where the voice suddenly cuts out and this can be suggestive of a swelling-quality vocal ceiling caused by a sudden dampening of vibrations when a swelling touches the opposite vocal cord or they might leak air at the highest note suggestive of a gap-quality vocal ceiling caused by a lack of closure.

## FINDING VOLUME

### Loudness Yell



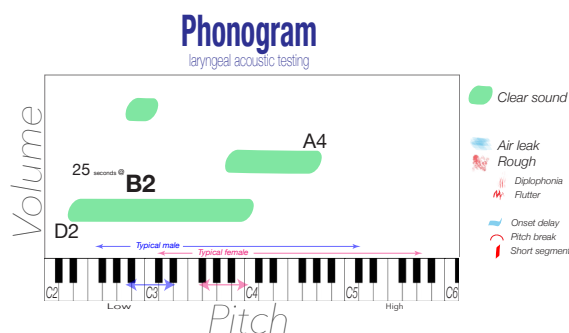
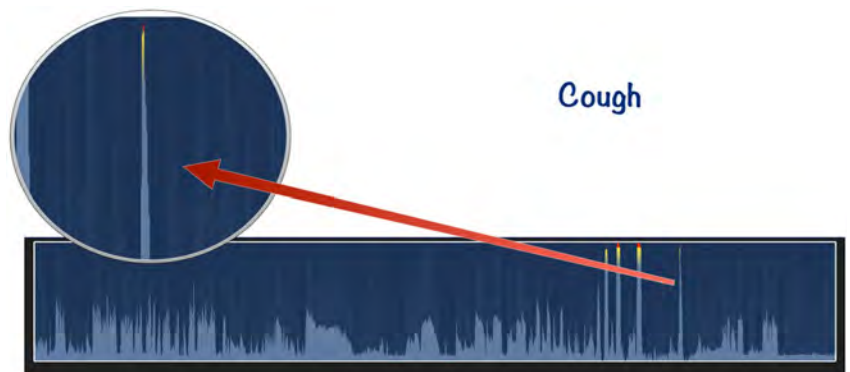
**A** robust vocalization, not a scream, but a well-supported yell on the word “Hey” assesses the ability of the patient to maintain or recruit additional closure with increased subglottic pressure. The additional energy from increased pressure beneath the vocal cords can cause weak vocal cords to flutter. The task may allow stiff vocal cords to actually produce sound, when quiet sounds were almost impossible. Psychogenic problems often show up on this test when the patient hesitates or exaggerates performing this task, perhaps subconsciously worrying that the voice will

create sound in an unexpected way where there previously was no sound.

Vocal effort and quality during high vocal intensity (volume) may be assessed at both low and high pitch. Notation is made whether volume seems normal, reduced (typical of paralysis) or better than expected (typical of bowing and termed vocal recruitment). Notation is made of volume relative to the pitch. A loud sound that can only be produced at high pitch is suggestive of weakness such as a recurrent laryngeal nerve paresis. Notation is made of quality. Loud phonation that is clear at high pitch and causes flutter at low pitch is suggestive of an anterior branch, recurrent laryngeal nerve paresis. Notation is made of patient effort. A patient with a nonorganic voice issue will may defer on this task or be surprised when their voice is suddenly normal or there may be facial signs such as *la belle indifference*.

## FINDING VOLUME

### Loudness Vegetative sound

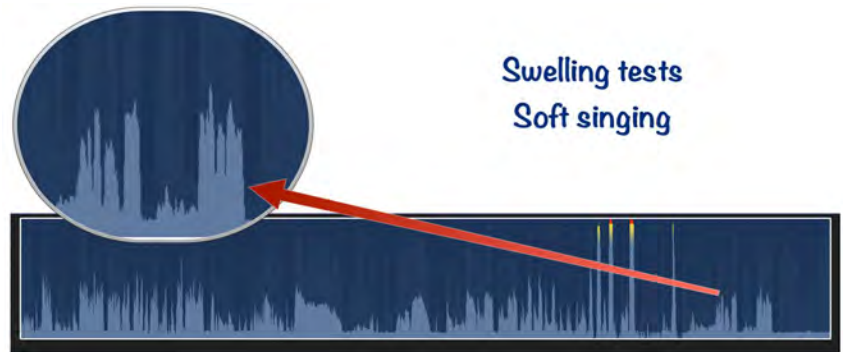


**C**ough, followed by throat clearing are helpful tasks, like yelling, in sorting out weakness of the glottis or psychogenic / nonorganic vocal problems. For instance, if a pa-

tient could only whisper up to this point in the exam, but can produce a robust cough, then the vocal cords or some portions of the glottis have the capacity to come together, hold back air and then on release, generate sound. Notation is made whether the patient can or cannot produce sound on this task and whether it is normal, soft, seal-like bark quality or strained.

## REMOVING COMPENSATION

### Soft sounds Swelling test



Perhaps the most detailed task, an examiner reassesses the upper and lower ends of the pitch range at the very softest volume the patient can produce, for comparison with the previously recorded maximum vocal range. Quite often, this requires some coaching. There are a number of disorders that impair soft voicing and despite the patient's interest in solving their problem, no one likes to "fail" at a test, not even a patient. This is especially pronounced in professional voice users. Even when an individual's chief complaint is that they are missing notes, they utilize significant effort to avoid sounding "bad" on these notes during an exam. Coaching the patient to sing softer and softer and emphasizing the importance of hearing on what tone the vocal cords stop vibrating and when the voice sounds bad, can improve patient compliance with the test. Emphasis is placed on hearing and discovering the hoarse or impaired voice.

Generally, a healthy larynx should be able to produce similar tones at both soft and loud volumes at both the upper and lower ends of their vocal pitch range. When one cannot reach almost the same note softly that one can reach loudly, there is probably a vibratory impairment. The greater the difference between the soft-volume vocal pitch range and the high-volume vocal pitch range, the more significant the vocal cord vibratory problem.

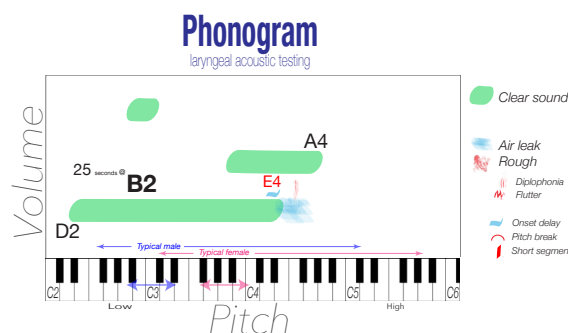
One of the easiest ways to determine the upper limit of the soft vocal range is to have the patient sing the first four words of the nearly universally known song, "Happy Birthday to You." When singing the words, "happy birthday to you," between the words "day" and "to" is a melodic interval of a fourth (5 semitones). If no sound comes out on the word "to," or if there is a significant onset delay to the start of vocal cord vibration on that word, then there is likely some mechanical vibratory limitation of the vocal cords commencing within this interval of a fourth. This test can be repeated at a lower or higher tone and the tone where the voice cuts out more precisely determined. This denotes the soft cutoff point.

Robert Bastian has termed this test for the soft, upper vocal ceiling, the "vocal swelling test"<sup>18</sup> and the exam is very sensitive for vocal cord vibratory margin swellings (nodules and polyps). In general, the point at which there is an onset delay or soft sound cutoff point signifies the tension at which a swelling on one vocal cord touches the other vocal cord and stops cord vibration. It is just like putting your finger lightly on a vibrating guitar string, dampening or stopping the vibration and sound.

The same task can produce a pitch break, where the sound suddenly jumps up, often nearly an octave. It represents the sudden shortening of the vibrating segment by the swelling.

It is also possible to learn to hear a central glottic gap with this test. The point at which the patient cannot start the vocal cords vibrating (because all the air leaks out between the cords without entraining them) does not occur at as precise a pitch as when a swelling stops the vibrations. But there will be a general pitch range over which the vocal cords cannot be entrained during low air flow because of the width of the glottic gap.

Occasionally vibratory impairment may be secondary to compression from a mass above the vocal cord. A dilated saccular cyst may dampen the vocal cord's vibrations at certain pitches if compressed against the true vocal cord. Compression from the saccular cyst may also shorten the effective vibratory length of one vocal cord creating diplophonia.



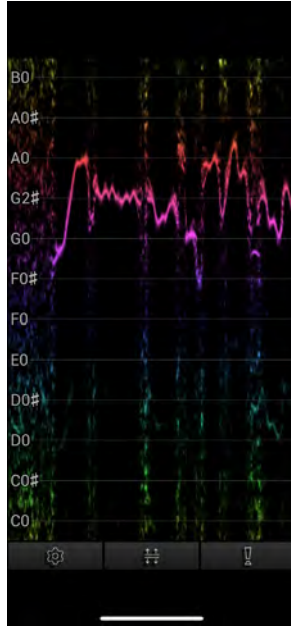
## FINDING THE PITCH

# Matching pitch Phone App

**M**atching pitch to an electronic keyboard is easiest for the musically inclined clinician. As the patient is making a sound, I play notes on an electronic keyboard until I hear the same pitch and make a written note of the pitch in the chart or on the Acoustic Testing diagram as in the previous examples.

However, many clinicians find pitch matching difficult. There are a number of Apps available for portable phones that can be used for finding pitch. I found PitchLab Pro by Karl Morton to be very useful and easy to use (but no longer supported after 2019). The Pitch Spectrogram page has equal interval notes on the y-axis and the perceived pitch is a colored line on the x-axis over time. I find the app easy to use even after the exam by playing my recording on the computer with my iPhone near the speakers.

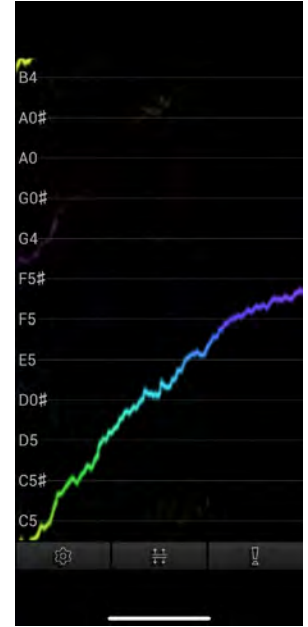
The app Tuner T1 can identify the notes on although not as visually appealing.



A reading voice is melodic, moving around in pitch, but we could say the comfortable pitch is centered around G2#. There are also scattered non-harmonic sounds generated by air flow over the tongue, lips and palate during word formation.

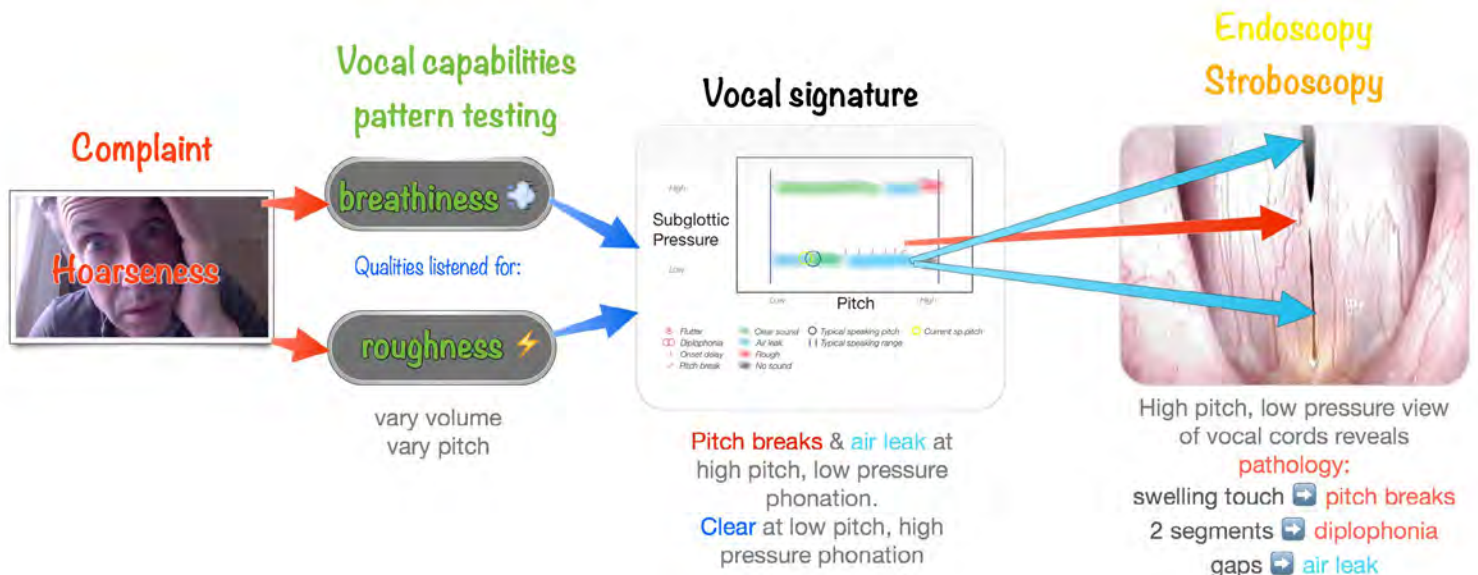


Finding the lowest note using a descending tone, with the lowest pitch about E2.



Finding the highest note using an ascending tone, with the highest pitch about F5#.

## Diagnostic Pathway — from complaint to diagnosis





These acoustic changes are not intrinsically dependent upon the composition of the mass or swelling. A benign nodule of the same density and mass as a carcinoma, located in the same position, will have the exact same acoustic effects. Swellings that differ in density or mass may alter the acoustic impairment somewhat. A polyp and a nodule of the same size will impair vibration at slightly different pitches because of differing densities, but the overall vocal capabilities pattern will be essentially the same. A hemorrhagic polyp may enlarge during phonatory use if it fills with blood during oscillation, and so a vocal capabilities pattern may be different in degree after extensive vocal use than after vocal rest.

**Laryngoscopy** — Consequently, vocal capabilities pattern matching which has these characteristics (diplophonia at high, soft sound production, onset delays during swelling testing in the upper range, pitch breaks with a sudden jump upward) will direct the endoscopic examiner to look at the vocal cord's medial vibratory margin for a mass. The smaller the mass, the

more this test clues the examiner where to look. A very small vocal nodule on the inferior vibratory lip will be essentially invisible to an examiner using a fiber-optic endoscope, looking from far away (tip of scope above the epiglottis), without a stroboscope.

The same swelling will be visualized by a second examiner whose ears are tuned to vocal capabilities pattern matching, by topically anesthetizing the vocal cords if necessary, moving the endoscope very close to the vocal cords (perhaps 1 mm), utilizing a stroboscope and examining and recording the vocal cords while vibrating at high pitch and low airflow, when the small swelling is most likely to be protuberant and interrupting the vibrations of the vocal cords. Then perhaps reviewing the video frame by frame, the small, nearly hidden swelling is identified as the source of harmonic sound impairment.

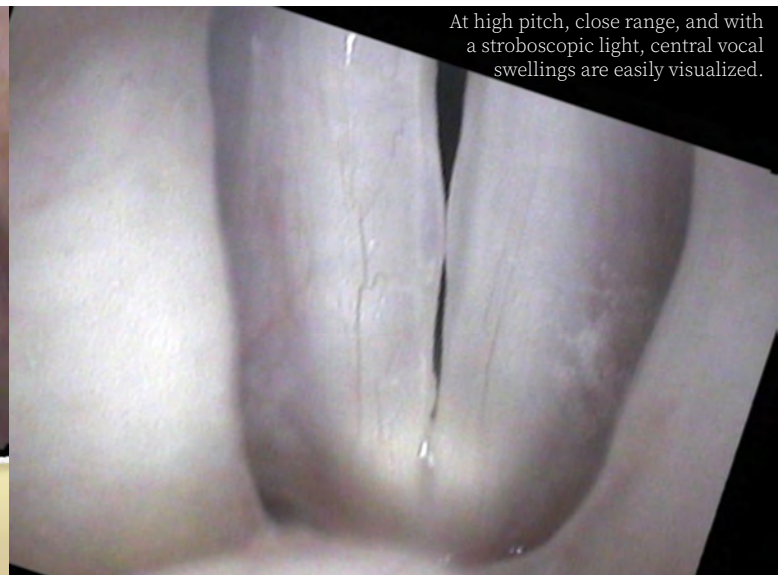
**Summary** — Even if you assume that the first examiner hasn't missed anything important (presupposing that a benign nodule is only important to a world-class singer - possibly

a false assumption), the first examiner will be predisposed to an erroneous diagnosis, perhaps ordering inappropriate diagnostic testing, perhaps pursuing pH probe studies, esophageal manometry, prolonged prescribing of anti-reflux medication with the potential for side effects, consultations with gastroenterologists, endoscopy of the G.I. tract, fundoplication... consuming the individuals time and money, creating discomfort and wasting a healthcare system's limited resources.

The second examiner notes the small swelling, reviews a video recording of the swelling, explains the mechanics of vocal impairment to the patient and decides on an appropriate plan of action. Plans might range from an informative discussion where the patient is willing to live with the vocal impairment, to appropriate voice therapy, to surgical excision of the lesion. Although of differing expense, all three of these treatments are appropriate and cost effective and the patient has the data and information to make a personal yet educated decision.



**Typical endoscopic view**  
no pathology seen



At high pitch, close range, and with a stroboscopic light, central vocal swellings are easily visualized.

## EXAMPLE CASE

**Starting with a pathology we can work our way backwards to the vocal pattern that would be evoked by this pathology. Neurologic weakness presents with a typical pattern.**

## WEAKNESS

**L**et's consider a left, partial recurrent laryngeal nerve injury - a common vocal impairment. Various injuries to the nerve supply of the larynx weaken some of the muscles and in this case the nerve injury involves the anterior branch of the recurrent laryngeal nerve. The thyroarytenoid muscle and the lateral cricoarytenoid muscle on the left side have only partial innervation.

**Vocal Capabilities** - We might hear the following acoustic effects. Beginning with comfortable speaking pitch, we notice that the patient is speaking at a higher pitch than typical. Whenever the vocal cords cannot approximate tightly from thyroarytenoid muscle lack of tension or from lack of medial rotation by the lateral cricoarytenoid muscle, the cricothyroid muscle tends to tighten, adding compensatory tension to the vocal cords. Adding tension increases the comfortable speaking pitch. If the unilateral paresis is significant enough, he may be speaking in falsetto full-time.

The voice quality is soft and the maximum phonation time is less than 10 seconds when producing sound at his comfortable speaking pitch. The softness in the voice is secondary to air leak. When the vocal cords cannot close tightly, air leaks through an incomplete closure. This may occur centrally between the membranous vocal cords and posteriorly between the vocal processes. Even when the lateral cricoarytenoid muscle remains functional, air leak occurs centrally through

the paretic vocal cord, bowed from a lack of tension in the thyroarytenoid muscle as well as from atrophy and lack of mass within the vocal cord. The shortened maximum phonation time is secondary to air leak. A larger quantity of air is needed to produce vocal cord entrainment, so there is air wasting.

The lowest pitch that can be achieved is only produced softly and at a higher pitch than typical. By listening to a lower pitch, the examiner is removing the compensation provided by the cricothyroid muscle and the weak vocal cord will rest in a more lateral position as well as a more concave configuration, allowing more air leak. The highest pitch that can be produced would typically be less than normal and should be fairly clear. The weaker vocal cord still receives tension from the uninjured cricothyroid muscle but lacks intrinsic tension. The patient might be able to yell with moderate volume at a high pitch, but, at a low pitch, the yell will be either weak or there will be obvious flutter from the paretic vocal cord's intrinsic lack of tension.

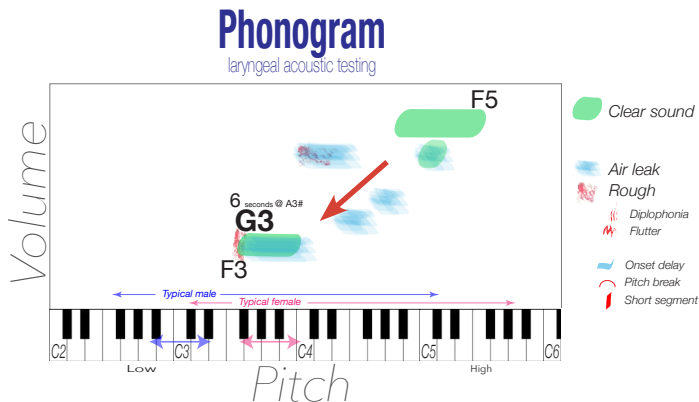
**Laryngoscopy** - In the case of complete paralysis, the unilateral gap would be obvious during endoscopy. In this case, we have all the audible signs of weakness, but during a typical endoscopic view, no gap between the vocal cords can be perceived.

However, for the astute examiner, even a mild paresis of the anterior branch of the recurrent laryngeal nerve will also be visible. We know from vocal capabilities testing to look further. A stroboscopic examination of

the vocal cords during attempted adduction at low pitch and soft volume will magnify the impairment. The endoscope may be angled beneath the ary-



**Top:** view from above suggests that the vocal cords close completely. **Bottom:** close view from beneath the arytenoids reveals the air leak



the vocal cords during attempted adduction at low pitch and soft volume will magnify the impairment. If necessary, even with supraglottic compensation (false cord squeeze), topical anesthesia of the larynx will allow placement of the endoscope between the false vocal cords during phonation and any gap between the membranous vocal cords may be visualized. The endoscope may be angled beneath the ary-

**Summary** - If there are abnormal findings listening to the voice, there must be corresponding visual findings on laryngoscopy. In the case of a paresis of the anterior branch of the recurrent laryngeal nerve (thyroarytenoid muscle, lateral cricoarytenoid muscle), most of the audible findings of impairment will be present at low pitch and low volume. An intact superior laryngeal nerve allows compensation from the cricothyroid muscle, which pulls the vocal cords closer together at higher pitch yielding clearer sound quality.

## EXAMPLE CASE

**Starting with a pathology we can work our way backwards to the vocal pattern that would be evoked by this pathology. A false cord mass may compress against the true vocal cords during phonation.**

## EXTERNAL COMPRESSION

A man notes end-of-day hoarseness, which over time progresses to hoarseness earlier and earlier in the day. An otolaryngologist treats him with a proton pump inhibitor and subsequently excises leukoplakia from the left vocal cord, which is benign appearing on histopathologic exam. His voice becomes worse over the next three years, although his otolaryngologist reports that his vocal cords look better. His otolaryngologist feels this ongoing hoarseness may be something he just needs to tolerate. Ultimately though, I see him and after assessing his vocal capabilities and endoscopy find that he has a malignancy within the false vocal cord which is compressing the true vocal cord during phonation and impairing his vocal cord vibrations.

**Vocal Capabilities** - In this case, vocal capabilities pattern matching yields the following. His voice is gravelly during reading, gravelly being another descriptive term for roughness. On maximum phonation time testing the duration is 8 seconds and the quality is very rough. While maximum phonation time testing can be utilized to determine approximately how much air is converted to sound, the degree of harmonic sound production can also be noted. When he attempts to yell, it is mostly air that comes out and is not very loud.

In this case, roughness suggests that there is an asymmetry and likely two sound sources even at his comfortable speaking pitch. During phonation, the unilateral false vocal cord mass

is compressing the ipsilateral vocal cord, but not the opposite cord. This compression from the false cord tumor on the superior surface, near the anterior part of the left cord, both tensions the ipsilateral vocal cord and shortens the effective vibrating length on that side so that the left vocal cord tends to vibrate at a higher pitch than the right. We may attribute his shortened phonation time to partial loss of vibratory energy secondary to a damping effect from the false vocal cord mass pressing on the true vocal cord. This pressure also stiffens the left vocal cord.

When we try to test his upper vocal range, attempting to go higher in pitch, we hear a higher volume with more air leak and less roughness. As his pitch rises (cricothyroid muscle stretching the vocal cords) supraglottic squeeze pushes the mass more firmly onto the ipsilateral vocal cord, such that the left

true cord stops vibrating entirely, essentially becoming extremely stiff from compression. Even though there is a complete closed phase, additional airflow is required to keep the opposite vocal cord vibrating against the compressed left cord and we hear breathiness. At one point there is a single, nearly clear, high note (A4) that he squeaks out from a short segment of a vocal cord that vibrates normally.

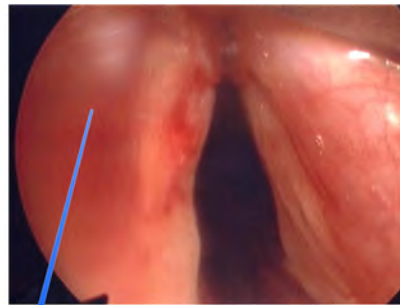
**Laryngoscopy** - Vocal capabilities pattern matching directs our endoscopic exam to take place at both low and high pitch and seek an explanation for the roughness in the low range and the breathiness at high volume and attempted high range. The compression from the false cord mass becomes the obvious source of his vocal impairment because it accounts for both these audible findings.

Ultimately an excisional biopsy of the false vocal cord reveals the carcinoma enlarging beneath the mucosa. Similar findings may occur with supraglottic masses such as a dilated saccular cyst or a laryngocoele. This compression may be present in only a portion of the vocal range.

**Summary** - It is easy enough to focus one's selective attention on the physical surface characteristics of the vocal cord and miss an even larger mass of the false vocal cord, particularly if the false cord's surface is smooth. In this case, the left true vocal cord leukoplakia attracted the examiner's visual attention so much that even during surgery, the false vocal cord was moved out of sight by the operative laryngoscope. Often such a mass is obvious in hindsight. However, if one listens to where the voice is impaired and then visualizes the vocal cord's vibrations during that impairment, the etiology for hoarseness will be identified.

Even if the true vocal cord leukoplakia in this patient was impairing vibration to some degree, failure of the voice to improve after excision would warrant a reevaluation of vocal cord vibration to determine the etiology of the ongoing impairment. The most obvious visual finding may distract the examiner, when not correlating hoarseness with vocal cord vibration impairment.

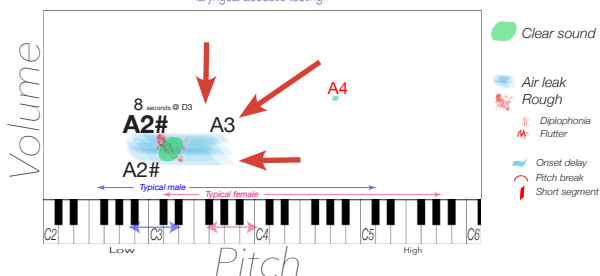
surgeon pushed the left false cord out of the way and focused on the white spot on the true cord



**Top:** surgical view where the left false cord is out of focus. **Bottom:** close view with the left false cord pushed out of the way for the surgeon to concentrate on the white spot on the left true vocal cord.

### Phonogram

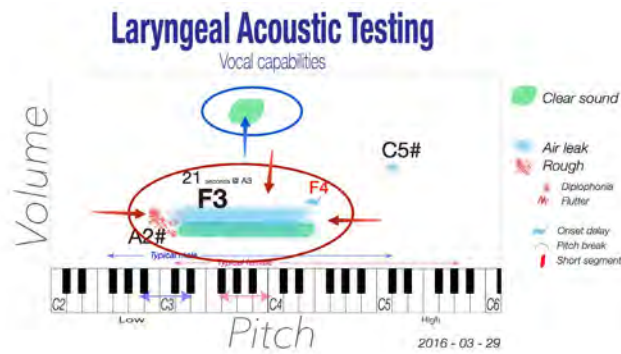
laryngeal acoustic testing



# VOCAL CAPABILITIES TESTING EXAMPLES

## BOWING

## SUPRAGLOTTIC MASS

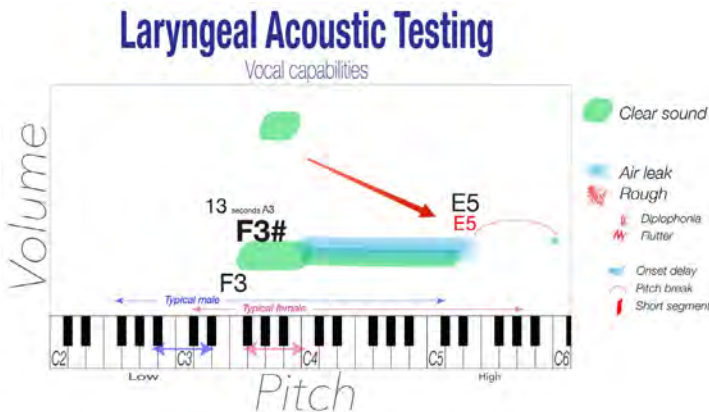


High volume clear (blue arrow).  
 Low volume air leak at all pitches.  
 Missing high pitch and low pitch ranges.

Poor quality sound.  
 Sound only in low pitch, soft volume region  
 No high volume, almost no high pitch sounds

## MARGINAL SWELLINGS

## PARESIS LCA



High volume clear.  
 Abnormal findings predominate at low volume,  
 high pitch.

High volume, high pitch clear.  
 Abnormal findings predominate at low pitch and  
 low volume

These patterns are the vocal signature for a given type of vocal impairment. Each pattern predicts what pathology will be seen during laryngoscopy and it suggests to the examiner what pitch and volume combinations are most likely to reveal the pathology to the examiner during laryngoscopy. The red arrows point toward the region of maximal vocal abnormal findings.

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## Further reading

[www.voicedoctor.net/diagnosis](http://www.voicedoctor.net/diagnosis) :these pages are updated irregularly

Thomas, James P., "Why is there a frog in my throat?" 2012

Thomas, James P., "Clinical Evaluation in a patient with a voice disorder" in *Textbook of Laryngology*, Ed. Nerurkar, Nupur Kapoor. 2017, jaypeebrothers.com

## FINAL THOUGHTS



Vocal polyp, stroboscopy: mid range, closing phase

### Learning

**T**hese examples are not enough to cover all the audible patterns potentially created by laryngeal disorders which cause hoarseness and by definition impair harmonic voice production. However, whichever pattern one hears, the vocal pattern should be explainable by the subsequent visual examination.

In fact, this technique is self-teaching, in that when a new vocal impairment pattern is heard, the examiner who views the vocal cords endoscopically using the same vocal maneuvers that elicit an impaired voice on vocal capabilities testing, will often discover the reason for the vibratory impairment, even if it is new to the examiner.

The examiner who records audio of vocal capabilities pattern matching will also have feedback to discover and learn when the phonatory system is altered during surgery on the vocal cords or in the vicinity of the motor nerve supply of the larynx, whether intentionally or unintentionally.

### Conclusion

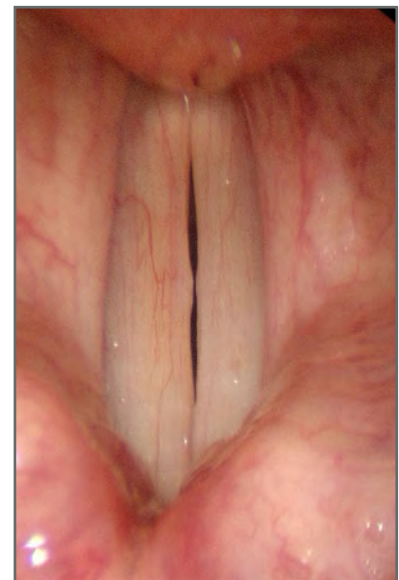
**V**ocal impairments can be described in terms of roughness and breathiness, the “R” and “B” of the GRBAS system. Roughness is typically diplophonia, although other quantities of multiple simultaneous pitches can be produced, all creating the perceived quality of roughness. Breathiness is unwanted air leak or air escape between vocal cords that do not completely approximate or are stiff.

We can be more precise than simple grading of the amount of roughness and breathiness. A more accurate descriptive method is noting the onset of roughness and/or breathiness as present at high pitch, low pitch or at both. We can be even more precise and note the specific pitch at which diplophonia begins to be produced or breathiness become significantly noticeable, and then whether or not this condition is present from this onset pitch upward or this onset pitch downward. The most accurate record is to have dated audio and video recordings maintained for future review or comparison.

Utilizing the following parameters for vocal capabilities pattern matching; comfortable

speaking pitch, maximum phonation time at comfortable speaking pitch, vocal range (lowest pitch, highest pitch), loudness capability, vegetative sound capability and vocal swelling test we can then define or describe the vocal signature of each patient with a complaint of hoarseness. This vocal signature orients the examiner to the where (vocal cord margins), when (pitch and volume) and what to observe for (gap or diplophonia) during recording of laryngoscopy and stroboscopy.

If each physician were to record the vocal capabilities of every patient before and after interventions to the vocal cords, and before and after interventions in the region of the recurrent laryngeal nerve, we would learn more about vocal injuries. We would more precisely learn when we are successful in altering the voice, since harmonic sound production is a successful outcome, not vocal cord appearance. Vocal capabilities pattern matching essentially tests the status of the laryngeal muscles, the status of the closure of the margins of the vocal cords, the flexibility of the vocal cord mucosa, as well as the status of the symmetry of the vocal cords.



Very small bilateral vocal swellings on stroboscopy at phonatory onset